



Valid

Patent Attorney's Docket No. F0700

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Robert Alan WILLIAMS

Application No.: 09/824,701

Filed: April 4, 2001

For: METHOD AND APPARATUS FOR
ENABLING A NETWORK DEVICE
TO OPERATE IN ACCORDANCE
WITH MULTIPLE PROTOCOLS

Group Art Unit: 2661

Examiner: Unassigned

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REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents and Trademarks
Washington, D.C. 20231

Sir:

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. As indicated in red ink on the attached copy, there is an error in the Filing Receipt. Also attached herewith are the Utility Patent Application Transmittal Letter, Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) and a copy of the stamped postcard indicating receipt of these documents at the PTO on April 4, 2001.

Please correct the following: In the Projected Publication Date section, please delete "10/10/2002", and insert in its place --Request for Non-Publication Acknowledged--.

☒ Since the errors were made on the part of the Patent Office, no fee is required.

☐ Since the errors were made on the part of the undersigned, ☐ a check in the amount \$25.00 is enclosed; or ☐ charge \$25.00 to deposit account 50-1070.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.



26615

PATENT TRADEMARK OFFICE

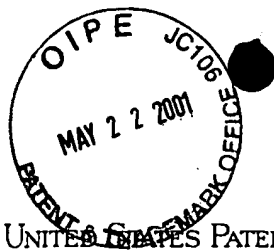
By:

Glenn Snyder

Glenn Snyder
Reg. No. 41,428

11240 Waples Mill Road
Suite 300
Fairfax, Virginia 22030
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Date: May 22, 2001

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Page 1 of 4

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/824,701	04/04/2001	2661	710	F0700	7	20	3

CONFIRMATION NO. 9657

FILING RECEIPT



OC00000006077579

HARRITY & SNYDER, L.L.P.
Suite 300
11240 Waples Mill Road
Fairfax, VA 22030

Date Mailed: 05/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Alan Williams, Cupertino, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/15/2001

Projected Publication Date: ~~10/10/2002~~ -- Request for Non-Publication Acknowledged--

Non-Publication Request: Yes

Early Publication Request: No

Title

Method and apparatus for enabling a network device to operate in accordance with multiple protocols

Preliminary Class

370

Data entry by : GEREMEW, FIKIRTE

Team : OIPE

Date: 05/15/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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Patent
Attorney's Docket No. F0700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT
APPLICATION TRANSMITTAL LETTER

Box PATENT APPLICATION
Commissioner for Patents and Trademarks
Washington, D.C. 20231

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Sir:

Enclosed for filing is the utility patent application of Robert Alan Williams for METHOD AND APPARATUS FOR ENABLING A NETWORK DEVICE TO OPERATE IN ACCORDANCE WITH MULTIPLE PROTOCOLS.

Also enclosed are:

- ☒ 7 sheet(s) of ☒ formal ☐ informal drawing(s);
- ☐ claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is ☐ hereby made to
_ filed in _ on _;
- ☐ in the declaration;
- ☐ a certified copy of the priority document;
- ☐ a General Authorization for Petitions for Extensions of Time and Payment of Fees;
- ☐ applicant(s) is/are entitled to Small Entity Status;
- ☒ an Assignment document and Assignment Recordation Cover Sheet;
- ☐ an Information Disclosure Statement and PTO-1449; and
- ☒ Other: Request for Non-Publication;
- ☒ An ☒ executed ☐ unexecuted declaration of the inventor(s)
☒ also is enclosed ☐ will follow.
- ☐ Please amend the specification by inserting before the first line the sentence -- This application claims priority under 35 U.S.C. §§ 119 and/or 365 to _ filed in _ on _; the entire content of which is hereby incorporated by reference.--
- ☐ A bibliographic data entry sheet is enclosed.

☒ The filing fee has been calculated as follows ☐ and in accordance with the enclosed preliminary amendment:

CLAIMS					
	No. of Claims		Extra Claims	Rate	Fee
Basic Application Fee					\$710.00
Total Claims	20	Minus 20 =	0	x \$18.00 =	0
Ind. Claims	3	Minus 3 =	0	x \$ 80.00 =	0
If multiple dependent claims are presented, add \$270.00					
Total Application Fee					\$710.00
If Small entity status is claimed, subtract 50% of Total Application Fee					
Add Assignment Recording Fee if Assignment document is enclosed					\$40.00
TOTAL APPLICATION FEE DUE					\$750.00

- ☐ This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
- ☒ A check in the amount of \$710.00 (application filing fee) is enclosed for the fee due.
- ☒ A check in the amount of \$ 40.00 (Assignment Recordation fee) is enclosed for the fee due.
- ☐ Charge \$ to Deposit Account No. 50-1070 for the fee due.

- ☒ The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1070. This paper is submitted in duplicate.



26615

PATENT TRADEMARK OFFICE

Respectfully submitted,

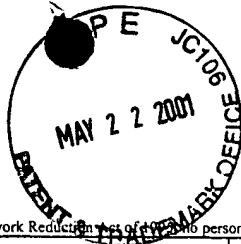
HARRITY & SNYDER, L.L.P.

By: _____

Glenn Snyder
Reg. No. 41,428

11240 Waples Mill Road
Suite 300
Fairfax, Virginia 22030
(571) 432-0800

Date: April 4, 2001



PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Project of 1998, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Robert Alan Williams
	Title	METHOD AND APPARATUS FOR ENABLING A NETWORK DEVICE TO OPERATE IN ACCORDANCE WITH MULTIPLE PROTOCOLS
	Atty Docket No.	F0700

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

April 4, 2001
Date

 (Signature)

Glenn Snyder

Registration No. 41,428

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This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this non-publication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 C.F.R. 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES FOR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.



Inventor(s): Robert Alan Williams

Appln. No.: Unassigned

Docket No.: F0700 (0020-0035)

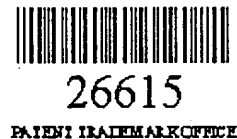
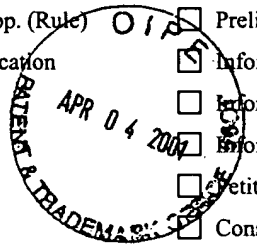
Working Atty: GS

Date: April 4, 2001

Title: METHOD AND APPARATUS FOR ENABLING A NETWORK DEVICE TO OPERATE IN ACCORDANCE WITH MULTIPLE PROTOCOLS

The following was/were received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Utility Patent Application | <input checked="" type="checkbox"/> Executed Declaration/Power of Attorney | <input checked="" type="checkbox"/> Check for \$ <u>710.00</u> is enclosed |
| <input type="checkbox"/> Design Patent | <input type="checkbox"/> Unexecuted Declaration/Power of Attorney | <input checked="" type="checkbox"/> Check for \$ <u>40.00</u> is enclosed |
| <input type="checkbox"/> Continuing Prosecution App. Req. | <input checked="" type="checkbox"/> Request for Non-Publication of Application | <input type="checkbox"/> Charge \$ _ to Deposit |
| <input type="checkbox"/> Provisional Application Cover Sheet | <input checked="" type="checkbox"/> Assignment/Assignment Recordation (PTO-1595) | Account No. 50-1070 |
| <input type="checkbox"/> Provisional Application | <input type="checkbox"/> Claim for Convention Priority w/# cert. copy(ies) | <input type="checkbox"/> |
| <input type="checkbox"/> Continuation/Divisional App. (Rule 1.53(b)) with copy of application | <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> |
| | <input checked="" type="checkbox"/> Information Disclosure Statement Transmittal | |
| | <input type="checkbox"/> Information Disclosure Statement w/# reference(s) | |
| APPLICATION INCLUDING: | <input type="checkbox"/> Information Disclosure Citation (PTO-1449) | |
| <input checked="" type="checkbox"/> specification (28 pages) | <input type="checkbox"/> Petition for # Month Extension of Time | |
| <input checked="" type="checkbox"/> claims (20 claims) | <input type="checkbox"/> Constructive Petition for Extension of Time | |
| <input checked="" type="checkbox"/> drawings (Fig(s). 1-7, 7 sheet(s)) | | |



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Bib Data Sheet

CONFIRMATION NO. 9657

SERIAL NUMBER 09/824,701	FILING DATE 04/04/2001 RULE	CLASS 370	GROUP ART UNIT 2663	ATTORNEY DOCKET NO. F0700
APPLICANTS Robert Alan Williams, Cupertino, CA; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/15/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		STATE OR COUNTRY CA	SHEETS DRAWING 7	TOTAL CLAIMS 20
				INDEPENDENT CLAIMS 3
ADDRESS HARRITY & SNYDER, L.L.P. Suite 300 11240 Waples Mill Road Fairfax, VA 22030				
TITLE Method and apparatus for enabling a network device to operate in accordance with multiple protocols				
FILING FEE RECEIVED 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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